

Appl. No: 10/518,110

Docket No: EX03-039C-US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of Canne, et al.

Application No.: 10/518,110 Filed: December 10, 2004

For: HUMAN ADAM-10 INHIBITORS

Confirmation No.: 3309

Attorney Docket No.: EX03-039C-US

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with 37 CFR §1.56, this Information Disclosure Statement is being submitted for the above-referenced application.

Applicant notes that Citation Nos. 8 and 9 of the Information Disclosure Statement in the attached PTO Form 1449 was first cited in the International Search Report (copy attached) from the International Searching Authority in the counterpart PCT application.

In accordance with §1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined by 37 CFR §1.56 exists.

In accordance with §1.97(h), the disclosure contained herein is not intended to constitute an admission that any patent, publication, or other information referred to is "prior art" or "analogous art" for this invention.

It is believed that no fees are required, as the disclosure is submitted prior to the first office action on the merits. However, if this belief is in error, the Commissioner is authorized to charge any required fees to deposit account no. 50-1108.

Respectfully submitted,

Dated: March 17, 2005

Michael Atkins Reg. No. 35,431

EXELIXIS, INC.

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The Chemical Abstracts Registry (CAS®) database was queried to identify structures that are identical or related to the structures recited in the claims of the above-referenced patent application. The following patent publications were identified:

EP0984959, MONSANTO CO, 2000-03-15

EP1202724, WARNER LAMBERT CO, 2002-05-08

EP1233016, WARNER LAMBERT CO, 2002-08-21

EP1233017, WARNER LAMBERT CO, 2002-08-21

EP1233018, WARNER LAMBERT CO, 2002-08-21

EP1279674, AMERICAN CYANAMID CO, 2003-01-29

EP1149072, HOFFMANN LA ROCHE, 2001-10-31

US6548524, AMERICAN CYANAMID CO, 2003-04-15

US6566384, DARWIN DISCOVERY LTD, 2003-05-20

US2003139414, PROCTER & GAMBLE, 2003-07-24

US2003166687, WARPEHOSKI ET AL, 2003-09-04

US5646167, CIBA GEIGY CORP, 1997-07-08

US5861510, PFIZER, 1999-01-19

US6506936, FIBROGEN INC, 2003-01-14

US6566381, PROCTER & GAMBLE, 2003-05-20

US6734183, ASTRAZENECA AB, 2004-05-11

US6734184, ASTRAZENECA AB, 2004-05-11

US2003139414, PROCTER & GAMBLE, 2003-07-24

US5753653, AGOURON PHARMA, 1998-05-19

US2003199520, BILLLEDEAU et al., 2003-10-23

WO03082288, PROLIFIX LTD, 2003-10-09

WO02062756, PHARMACIA CORP, 2002-08-15

WO0063165, FUJISAWA PHARMACEUTICAL CO, 2000-10-26

WO0044739, ABBOTT LAB, 2000-08-03

WO02067866, AXYS PHARM INC, 2002-09-06

WO02062756, PHARMACIA CORP, 2002-08-15

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WO9808815, PROCTER & GAMBLE, 1998-03-05 WO9850348, AGOURON PHARMA, 1998-11-12 WO9640101, CIBA GEIGY AG, 1996-12-19

The above-references have <u>not</u> been reviewed to assess whether they are material to the patentability of the claimed invention; nor have they been reviewed to assess whether they are substantively cumulative to the disclosures of the references cited in and provided with the "Information Disclosure Statement by Applicant" filed simultaneously herewith.

Upon request, Applicants will gladly obtain copies of any of these references and forward them to the Examiner for consideration.

Respectfully submitted,

Dated: March 17, 2005

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STATEMENT BY APPLICANT

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Complete if Known				
Application Number	10/518,110			
Filing Date	12/10/2004			
First Named Inventor	Canne			
Art Unit				
Examiner Name				
Attorney Docket Number	EX03-039C-US			

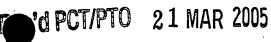
U.S. PATENT DOCUMENTS						
Examiner	Cita	Cite No. Number - Kind Code ² (if known) Document Number - Publication Date MM-DD-YYYY	-	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant	
Initials No.	No.1		Cited Document	Passages or Relevant Figures Appear		
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	FOREIGN PATENT DOCUMENTS							
Examiner	Cite	Foreign Patent Document	Publication	Applicant of Cited	Pages, Columns, Lines, Where Relevant			
Initials*	No.¹	Country Code ³ - Number ⁴ - Kind Code ⁶ (if known)	Date MM-DD-YYYY		Passages or Relevant Figures Appear	T ⁶		
	1	WO9808825/	3/5/98	THE PROCTER & GAMBLE CO.				
	2	WO9827069 ·	6/25/98	SAWA PHARMACEUTI CAL CO				
	3	WO9633172 /	10/24/96	PFIZER, INC				
	4	WO9834918 ~	8/13/98	PFIZER, INC				
	5	WO0009492 ~	2/24/00	PFIZER, INC				
	6	WO9958531	11/18/99	DU PONT PHARMACEUTI CALS CO				
	7	WO9720824 /	6/12/97	AGOURON PHARMACEUTI CALS, INC				
	8	US5753653	5/19/98	AGOURON PHARMACEUTI CALS, INC				
	9	US6333324	12/25/01	FUJISAWA PHARMACEUTI CAL CO.				

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁴ Applicant is to place a check mark here if English language Translation is attached.





PTO/SB/08b(08-03)
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Art Unit				
Examiner Name				
Attorney Docket Number	EX03-039C-US			

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
	10	LETAVIC et al, Synthesis and biological activity of piperazine-based dual MMP-13 and TNF-alpha converting enzyme inhibitors. Bioorg Med Chem Lett. 2003 Oct 6;13(19):3243-6.		
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Examiner	Date		
Signature	Considered		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.